

**RULES AND REQUIREMENTS
FOR
REGISTRATION OF THOROUGHBRED AND
HALF-BRED HORSES IN INDIA**

REVISED EDITION

(Effective from 24 February, 2020
as revised from time to time through
the medium of Stud Book Department Circulars)

CONTENTS

	Page No.
I. The Stud Book Authority of India	5
II. Consent / Implied Consent	6
III. Definitions and Terms	6
IV. Powers of Stud Book Authority of India including Power of Inquiry, Inspection and Disqualification	8
V. Registration of Horses for Breeding	10
VI. Covering Certificates	12
VII. Registration of Foals and Yearlings	14
VIII. Registration of Ownership	16
IX. Registration of Breeding Establishments	17
X. Exports	17
XI. Other Reports and Returns	17
XII. Submission of Reports and Returns	18
XIII. Passports	19
XIV. Colour Coat and Genetic Laws of Heredity in Thoroughbred Horses	19
XV. Parentage Validation	20
XVI. Acceptance of Returns	21
XVII. Inquiry Procedure and Grievance Redressal	21
XVIII. Remittances	23
XIX. Maintenance of Records by Breeders / Breeding Establishments	23
XX. Examination of Breeding Records and Livestock	24
XXI. Taxes	24
XXII. Miscellaneous	24
XXIII. Arbitration, Jurisdiction and Consent	24
Pedigree and information Services	25
Conditions of Entry Into The Register of Indian Non-Thoroughbred Horses	26-27
Appendix A - Bond for Provisional Registration of Imported Broodmares and Stallions	29
Appendix B - Application for Export Documentation	30-31
Appendix C - Registration of Names	32-33
Appendix D - List of Panel Veterinarians in India	35
Appendix E - Detailed instructions for completing the Covering Certificate	36



**RULES AND REQUIREMENTS FOR REGISTRATION OF
THOROUGHBREDS AND HALF-BRED
HORSES IN INDIA**

I

THE STUD BOOK AUTHORITY OF INDIA

1. The Stud Book Authority of India is constituted under the Articles of Association of Royal Western India Turf Club, Ltd. (hereinafter “R.W.I.T.C. Ltd”), a company limited by guarantee, which has its Registered Office at Race Course, Mahalakshmi, Mumbai – 400 034.
2. The Stud Book Authority of India is for brevity sake hereinafter referred to as “S.B.A.I.”
3. “These Rules” shall mean the Rules and Requirements for Registration of Horses in India framed by the Stud Book Authority of India from time to time and shall include without limitation, any bye-laws, regulations, notifications, circular etc. made / issued under the authority of these Rules and the same shall have the same force and effect as if included in these Rules. It is clarified that these Rules include without limitation, any amendment, modification, addition, deletion, etc. made to these Rules or to any bye-laws, regulations, notifications, circular etc. from time to time and any such amendment, modification, addition, deletion, etc. shall be notified by S.B.A.I. by notice or circular or placing the same on the official website of S.B.A.I.

Organisation

4. The S.B.A.I. shall appoint from time to time the following to aid it in the discharge of its duties and said appointees can be changed or removed or appointed in dual or all capacities as S.B.A.I. may deem fit from time to time :-
 - (a) A Stud Book Working Group, consisting of such number of Club Members of the R.W.I.T.C. Ltd, as may be decided by S.B.A.I. at its sole discretion, who are knowledgeable in stud book matters, but not necessarily members of the Committee of S.B.A.I. in that year.
 - (b) The Keeper of the Indian Stud Book who is also the Official Global representative of the S.B.A.I.
 - (c) The Registrar, Indian Stud Book, who is the Chief Executive Officer of the Stud Book Authority of India.
5. Without prejudice to what is contained in these Rules, it is herein provided as follows :-
 - (a) The S.B.A.I. is the final authority in all matters concerning these Rules or any interpretation thereof and any matter not specifically provided by these Rules shall be determined and decided by the S.B.A.I. at its sole discretion;
 - (b) Any regulations, notifications, circulars, etc issued under the authority of these Rules and notified by Circular and/or placing on the website, shall have the same force and effect as if included in these Rules.

Functions

6. The functions of the S.B.A.I. are as follows :-
 - (a) To represent India at International Stud Book Committee, Asian Stud Book Committee Meetings and other Breeding fora.
 - (b) To prescribe and revise these Rules as may facilitate to carry out its functions, whenever required and place them on the website of S.B.A.I. and/or notify by circular.
 - (c) To register breeding establishments.

- (d) To register broodmares and stallions for breeding purposes.
- (e) To register and keep records of coverings, births, deaths, movements, pregnancy, foal casualties, ownership details and microchip implants of all stock on its records.
- (f) To issue Passports and Export Certificates for the stock on its records when required.
- (g) To publish :-
 - (i) Return of Mares annually.
 - (ii) Indian Stud Book every four years.
 - (iii) Stallion Register every four years.
- (h) To register names of horses on behalf of the Turf Authorities of India (TAI).
- (i) Any other function allotted to it by law / R.W.I.T.C. Ltd.

Recognition

7. The S.B.A.I. is duly recognised by the International Stud Book Committee (hereinafter "ISBC") and the Indian Stud Book (hereinafter "ISB") is a stud book approved by the ISBC to register all thoroughbreds / half-breds born / situated in India or elsewhere where its jurisdiction may extend.
8. The Stud Book Authority of India (S.B.A.I.) represents the Asian and Oceanian zone as one of the four Representatives along with Japan, New Zealand and Australia at the meetings of the ISBC.

Office

9. The Stud Book Department, headed by the Registrar, is situated presently at No. 6 Arjun Marg, Pune 411 001 and all routine correspondence should be addressed to it.

II

CONSENT / IMPLIED CONSENT

10. Any person, breeding establishment, body corporate/LLP, partnership, etc. taking part in any manner under these Rules or submitting any application under these Rules shall *ipso facto* be deemed to have consented to be bound by these Rules.

III

DEFINITIONS AND TERMS (for the purpose of these Rules)

11. A "horse" means and includes a racehorse, stallion, gelding, mare, yearling, weanling and a foal.
12. A horse is "bred" at the place of its birth.
13. A "breeder" is a person or legal entity (including without limitation, a body corporate, a company, partnership firm, proprietorship, LLP, etc) that owns or is the lessee of the dam when the foal is dropped.
14. (a) An "owner" is the person in whose name the horse stands registered in the records of the S.B.A.I.
- (b) A "person" means and includes any person, a body corporate / company, individual, limited liability partnership (LLP), partnership firm, proprietary concern or any other legal entity and in context to the aforesaid, includes its Directors, Partners, Proprietor etc., as the case may be.
15. A "breeding establishment" means a stud farm or a place where breeding of horses as an activity is carried on whether the place is registered with the S.B.A.I. or not.
16. An "Authorised Agent" is the person in whose name the "Authority to Act" in writing in prescribed form is duly lodged with the S.B.A.I.

17. "Turf Authority" means any Turf Authority in India and / or abroad which is duly recognised by the Royal Western India Turf Club, Ltd.

18. **Thoroughbred.** A Thoroughbred is a horse which is recorded in any Thoroughbred Stud Book approved by the International Stud Book Committee (ISBC) at the time of its official recording.

19. "A Thoroughbred Cross" means a mating in which one or the other partner is universally eligible for inclusion in an approved Stud Book.

20. The "age" of a horse in India is reckoned from 1 January as per International norms applicable to the Northern Hemisphere irrespective of its date and place of foaling, except horses foaled in the Southern Hemisphere in which case, the age will be reckoned from 1 August as per International norms applicable at present.

21. **A Horse Eligible to be Recorded in an Approved Thoroughbred Stud Book.** For a horse to be eligible to be recorded in an approved Thoroughbred Stud Book, the horse must be a product of a mating between a sire and a dam, both of which are recorded in an approved Thoroughbred Stud Book or either or both must have been promoted from a Non-Thoroughbred register under the terms specified in (a), (b) and (c) below :-

(a) The horse can prove eight Thoroughbred crosses consecutively including the cross of which it is a progeny, and

(b) the horse's pedigree can show such performances in races open to Thoroughbreds in both the Thoroughbred and non-Thoroughbred sections of its pedigree as to warrant its assimilation with Thoroughbreds, and

(c) the promotion is approved by the unanimous agreement of the International Stud Book Committee.

22. **Service and gestation to Produce an Eligible Foal.** A foal is eligible to be recorded in an approved Thoroughbred Stud Book only if the following conditions are met :-

(a) **Eligible Service.** The Thoroughbred must be the result of a Stallion's mating with a Mare which is the physical mounting of a Mare by a Stallion with intromission of the penis and ejaculation of semen into the reproductive tract. As an aid to the mating and if authorised by the Stud Book Authority of a country certifying the Thoroughbred, a portion of the ejaculate produced by the Stallion during such mating may immediately be placed in the reproductive tract of the Mare being bred.

(b) A natural gestation must take place in, and delivery must be from, the body of the same Mare in which the Foal was conceived. Any Foal resulting from or produced by the processes of Artificial Insemination, Embryo Transfer or Transplant, Cloning or any other form of genetic manipulation even though not herein specified, shall not be eligible for recording in any Thoroughbred Stud Book approved by the International Stud Book Committee.

(c) No foal conceived by artificial insemination can be recorded in a Stud Book. Natural service is defined in Rule 22 (a) above.

(d) Modification of the heritable genome of a prospective or registered Thoroughbred, during its conception, gestation or at any stage thereafter in its existence, will result in that horse forfeiting its status as a Thoroughbred.

23. **Panel Veterinarian.** A panel veterinarian is a Veterinarian on the panel approved by the Expert Committee constituted by the Government of India or a Veterinarian, who is the Senior / Chief Veterinary Officer of a recognized Turf Authority and is empowered to issue Certificates of Identity for racehorses under applicable Rules. Under certain circumstances, at its discretion, the S.B.A.I. may appoint veterinarians/officials for specific purposes and invest them with specific powers to discharge any or all of the functions of a Panel Veterinarian. A list of Panel Veterinarians in India, as on date is attached herewith as "**Appendix D**".

24. All fees, additional fees, fine, penalty, etc indicated in these Rules are subject to change at any time by a resolution of the S.B.A.I. as notified from time to time on the website of the S.B.A.I. and/or by circular.

25. Parentage validation means confirmation of a horse's parentage by employing DNA-typing or any other technique duly approved by the ISBC.

26. ISAG means International Society for Animal Genetics.

27. Microchipping is recommended by World Health Organisation for all animals so that they are individually identified.

IV

POWERS OF STUD BOOK AUTHORITY OF INDIA INCLUDING POWERS OF INQUIRY, INSPECTION AND DISQUALIFICATION

28. The powers of the S.B.A.I. stated hereunder are in general and in addition and without prejudice to any specific powers conferred on S.B.A.I. under any other provisions of these Rules. The S.B.A.I. has and reserves to itself and those representing it, the right at all times and from time to time at its sole and absolute discretion to :-

(a) to take action against any person, breeding establishment etc. as provided in these Rules; and / or

(b) reject / refuse registration of horses for breeding belonging to any breeding establishment and / or any sale / transfer of horses under these Rules; and / or

(c) reject / refuse any application for registration of any breeding establishment under these Rules; and / or

(d) reject / refuse to effect any procedures or formalities etc. by or for any person / breeding establishment under these Rules; and / or

(e) cancel / suspend / withdraw registration of horses and / or of any breeding establishment already registered with S.B.A.I.; and / or

(f) reject / refuse any application that may be made under these Rules for any purpose / object contained in these Rules; and / or

(g) impose additional fees or additional fines on habitual offenders and for cases of gross negligence a sum not exceeding an additional amount of ₹ 2,00,000/-; and / or

(h) inquire into all matters pertaining to breeding / registration, etc whether provided or not specifically provided by these Rules at the sole discretion of S.B.A.I. and to impose such fines and/or to take such other action, as it may deem fit in its absolute discretion, on all persons, including breeding establishments, etc; and / or

(i) fine and / or take such action against any person who refuses to appear before the S.B.A.I. or refuses to attend any inquiry or gives evidence that is false or misleading. A person would be deemed to be in default of this rule each time that he commits a breach of the same and the S.B.A.I. shall be entitled to impose fine and / or take action against such person separately for each such default / breach of this rule and which action may include action against the breeding establishment represented / connected with such person; and / or

(j) proceed in any matter ex-parte and give its decision thereon where any person repeatedly refuses or fails to appear before the S.B.A.I. when requested / called upon to do so.

29. If it comes to the notice of S.B.A.I. that the welfare of the horses at any breeding establishment is not satisfactory or is being compromised, the S.B.A.I. reserves to itself and those representing it, the right, at any and all times, after due enquiry, including physical inspection to take action as follows :-

(a) Direct the breeding establishment to take appropriate steps to ameliorate the conditions of animal upkeep at that establishment and / or

(b) report the matter to the appropriate / concerned Authorities for further investigation.

30. The S.B.A.I., shall have the power to delegate from time to time the exercise of such of its functions and powers as it may deem fit to the Stud Book Working Group, Keeper of the Indian Stud Book, Registrar, Indian Stud Book or to such other person as it may deem proper.

31. The S.B.A.I. shall have power at all times and from time to time :-
- (a) to authorize the Registrar, ISB to impose without limitation, all fees, additional fees, fines, penalties etc. mentioned in these Rules and carry out all duties, functions and responsibilities, as may be assigned to him by S.B.A.I. and/or Working Group or delegated to him by S.B.A.I. and to withhold registration for non-payment of any present or previous dues.
 - (b) the Registrar, Indian Stud Book shall carry out all duties, functions and responsibilities as assigned to him by S.B.A.I. and/or Working Group of S.B.A.I. or delegated to him by S.B.A.I. from time to time, including without limitation, to impose fees, additional fees, fine, penalty etc and to withhold registration for non-payment of any present or previous dues.
 - (c) the Registrar's actions shall be subject to appeal to S.B.A.I. Working Group and/or Second appeal to S.B.A.I.
32. If in the opinion of the S.B.A.I., there is a reason to believe that there has been submitted to S.B.A.I., a Covering Certificate, Notification of Birth or Certificate of Identity and/or any other document or information relating to a horse entered, or eligible for entry, in the Indian Stud Book which is or may be incorrect or fraudulent or if in the opinion of the S.B.A.I. there are other circumstances including neglect of horses and/or their welfare and which in the opinion of S.B.A.I. makes an investigation necessary or desirable, the S.B.A.I. may require :-
- (a) The person who furnished the Return or any other document or information and the owner of, and any other person in India who then has charge, care or control of any horse entered or eligible for entry in the Indian Stud Book, to submit for examination by the S.B.A.I. or such other person as may be appointed by the S.B.A.I., all books, receipts and other documents, which the S.B.A.I. or any such person as appointed by the S.B.A.I. may deem necessary to examine.
 - (b) The owner of and any other person in India who then has charge, care or control of any horse entered or eligible for entry in the Indian Stud Book to permit inspection and/or examination and/or blood typing/DNA typing of such a horse by such person which the S.B.A.I. may appoint in that behalf.
33. If any owner or person in charge, care or control of any horse, fails to discharge the requirements set out in Rule 32 the S.B.A.I. shall be entitled, after due enquiry to :-
- (a) refuse entry into the Indian Stud Book to any or all horses owned by such owner or other person or any company/partnership in which such owner or other person is a shareholder / Partner / Manager or person in control by whatever name called.
 - (b) delete from the Indian Stud Book, if already entered therein, any entry relating to and the name of any or all horses owned by, or such owner or other person or other person or any company / partnership in which such owner or other is a shareholder / Partner / Manager or person in control by whatever name called.
 - (c) disqualify from the Indian Stud Book any horse which, is found to have been the subject or medium of a malpractice.
- [Note:- Where the malpractice is in respect of a leased horse, the S.B.A.I. will determine whether the lessee or the lessor or both are guilty and take further action accordingly].*
34. The S.B.A.I. shall have power at all times and from time to time to :-
- (a) Add, amend, modify, delete, cancel etc. any of the Rules for the time being in force and such addition, amendment, deletion, modification or cancellation shall be binding on all concerned under these Rules. Such addition, modification, deletion, cancellation etc. shall be notified by circular and / or on the website of S.B.A.I.
 - (b) Make regulations or issue circulars, notifications etc. arising out of or in connection with these Rules and the same shall be binding on all concerned and which shall be notified on the website of S.B.A.I. or published by way of circular.
35. A general power conferred on the S.B.A.I. under these Rules shall not (unless the contrary is expressly provided) be limited or qualified or affected or deemed so to be by the fact that a specific punishment is prescribed for a particular breach / offence or a specific power is conferred on the S.B.A.I.

REGISTRATION OF HORSES FOR BREEDING

General

36. No horse born in India will be registered by the S.B.A.I. unless it has been issued a Certificate of Identity and is parentage validated. No horse born abroad will be registered unless its Export Certificate has been received and its parentage report received from abroad matches the parentage report as derived in India.

Application

37. Application for registration of a horse for breeding must be made on the form prescribed by the S.B.A.I. This form, for convenience, will hereafter be referred to as the "pink form".

38. The pink form must be filled in by physical examination of the horse. This must be complete in all respects.

Mares And Stallions Retired from Racing in India

39. Applications for registration of Indian-bred broodmares and stallions who have raced in India will be considered by the S.B.A.I. on receipt of the following:-

- (a) Pink form duly filled in.
- (b) Registration fee of ₹ 7,500/- (per mare) and ₹ 37,500/- (per stallion).
- (c) Passport in original, for endorsement by the S.B.A.I.

40. The S.B.A.I. will endeavour to register broodmares and stallions within 15 days of receipt of the pink form, passport and the relevant registration fees, provided no discrepancies as regards markings or ownership are found. In the event of discrepancies requiring clarification, the applicant will be so informed within 15 days.

Mares And Stallions Retired to Stud without being Registered For Racing

41. Applications for Indian-bred broodmares and stallions, who are registered in the Indian Stud Book and who have not been registered for racing will be considered by the S.B.A.I. for registration on receipt of the following:-

- (a) Pink form duly filled in.
- (b) Certificate of Identity from a Panel Veterinarian and passport.
- (c) Registration fee of ₹ 7,500/- (per mare) and ₹ 37,500/- (per stallion).
- (d) DNA typing charges of ₹ 8,000/- per horse, if not already parentage tested as a yearling in India or if the identity of the mare / stallion is in doubt.

Imported Mares and Stallions

42. The S.B.A.I. shall consider the registration of imported mares and stallions upon receipt of the following :-

- (a) Pink form duly filled in.
- (b) Certificate of Identity from a Panel Veterinarian.
- (c) Copy of Commercial Invoice.
- (d) Final Quarantine Clearance Certificate.
- (e) Health Certificate/Passport received from abroad showing descriptive and diagrammatic markings.
- (f) Registration fee of ₹ 45,000/- (per mare) and ₹ 2,00,000/- (per stallion).
- (g) DNA typing charges of ₹ 8,000/- per horse.
- (h) Export Certificate from the competent Stud Book Authority abroad.
- (i) **Bill of Entry.** In order to ensure that the correct ownership is recorded for an imported horse, a copy of the Bill of Entry from the importing breeder is required as a proof of ownership.

(j) The parentage report (*blood-type / DNA profile*) from the competent Stud Book Authority of the country where the horse was parentage validated earlier. The S.B.A.I. is responsible for obtaining the parentage report of the imported horse from the Authorities abroad, provided a valid application has been made by the importing Breeder or his Authorised Agent to the concerned Authority abroad. Final registration will be accorded only when the Parentage report received from abroad matches the profile derived after sampling in India and if all other requirements in Rule 42 (above) have been duly complied with.

[All these requirements must be met within one year of the date of landing of the horse otherwise, late fees may become applicable as specified in Rule 44 below.]

43. As the Export Certificate/Final Quarantine Clearance Certificate, as mentioned in Rule 42 (above) can sometimes take a long time to arrive, provisional registration will be accorded to imported horses from the date of receipt of all other requisites (as stated in Rule 42) in the Stud Book Department on receipt of a provisional Quarantine Clearance Certificate / Landing Certificate and also accompanied by an Indemnity Bond on a duly stamped paper as required from time to time. The text of the aforesaid Indemnity Bond is prescribed in **Appendix A** to these Rules. It is further clarified that :-

(a) The provisional registration accorded under this Rule will hold good for a period of one calendar year which will be deemed to have commenced from the date of landing of the horse in India.

(b) The provisional registration will be confirmed only upon receipt of all the requisite certificates as stated in Rule 42 (above).

44. Where the requisite Certificates under Rule 42 (above) are not received within a period of one calendar year of landing in India of the horse/mare concerned, then, in such cases, late fees shall be levied for the purposes of regularisation of the late receipt of the Certificates for registration of Mares and Stallions as stated hereinbelow unless the delay in receipt of such Certificates is on part of the issuing Authority even though the importing Breeder or his Authorised Agent has fulfilled all requirements from his side well in time. However, the registration of mares and stallions upon payment of late fees as hereinabove prescribed shall not in anyway be mandatory upon the S.B.A.I. who shall have the discretion not to register a mare and/or a stallion if not satisfied with the reasons for the delay.

(a) Scale of late fees for Imported Mares (subject to approval by S.B.A.I.)

- (i) 1 to 90 days. ₹ 30,000/-
- (ii) 91 to 180 days. ₹ 75,000/-
- (iii) More than 180 days. ₹ 1,00,000/-

(b) Scale of late fees for Imported Stallions (subject to approval by S.B.A.I.)

- (i) 1 to 90 days. ₹ 1,00,000/-
- (ii) 91 to 180 days. ₹ 2,00,000/-
- (iii) More than 180 days. ₹ 2,75,000/-

Broodmares and Stallions going back to Stud after a spell of Racing AND Restrictions on Mares to be permitted to Race post mating / during pregnancy

45. Broodmares and stallions are often put back into training after they have been registered for breeding. Intimation of broodmares and stallions put back into training must be given to the S.B.A.I. within 15 days of their leaving the breeding establishment.

46. If broodmares and stallions are put into training, their registration for breeding shall stand automatically suspended for upto two years subject to Rule 51 (below). Re-registration of such horses for breeding will be considered afresh by the S.B.A.I. on receipt of a fresh pink form duly completed in all respects. If and when a covering report is received, the registration will be re-activated and no registration fee will be payable.

47. The notification of coverings of mares put back in training as well as the results of those coverings MUST be intimated forthwith to the S.B.A.I. by breeding establishments along with the intimation of being put back in training.

48. Notwithstanding anything contained to the contrary in these Rules, a pregnant mare which is at the Stud SHALL not be permitted to race in any race meeting.

49. A pregnant mare that is no longer confirmed pregnant prior to the normal term of gestation or whose pregnancy goes to the normal term of gestation but does not result in a live foal, may return to training or racing after examination by a veterinarian ("satisfactory veterinary clearance").

50. A mare that has delivered a live foal SHALL not be permitted to race for the first year following the foal's birth date and SHALL only be permitted to return to racing after a satisfactory veterinary clearance.

Re-registration of Mares and Stallions returning from abroad

51. Broodmares and Stallions returning from abroad and retiring to stud for breeding will not be levied registration charges, if levied earlier.

52. Re-registration of such horses will be considered by the S.B.A.I. on receipt of the requirements mentioned in Rule 42. However, DNA typing charges of ₹ 8,000/- will be applicable.

Re-registration of Mares and Stallions "Struck Off" or reported as being "Put out of Stud"

53. In case Stallions and Broodmares are not used for breeding in any year, intimation to this effect must specifically be given to the S.B.A.I. Stallions and Broodmares, whose coverings are not reported through the medium of Covering Certificates for two consecutive years and whose intimation of not having been used for breeding is also not received, will be **struck-off** from records of the S.B.A.I. At times, stallions and broodmares are also reported as being **discarded/put out of stud** by breeding establishments. Such mares and stallions, if utilised for breeding again, will need to be re-registered as broodmares and stallions, as provided hereinbelow.

54. Re-registration of such horses will be considered by the S.B.A.I. on receipt of the following :-

- (a) Pink form and passport.
- (b) Certificate of Identity from a Panel Veterinarian.
- (c) Registration fee specified in Rule 39(b) or Rule 42(f) as may be applicable.
- (d) DNA typing charges of ₹ 8,000/- per horse.

55. Re-registration of such horses will be provisional till the identity is confirmed by DNA typing.

Miscellaneous

56. Horses which are under racing lease or a contingency arrangement cannot be registered for breeding till the lease / contingency is cancelled.

57. Registration of names / change of names are effected by the S.B.A.I. in accordance with the detailed modalities as specified in **Appendix C**.

VI

COVERING CERTIFICATES

General

58. A covering shall be reported by the breeding establishment at which it physically occurred. The effective date of receipt of a Covering Certificate of an un-registered mare will be the date on which the said mare is finally registered and appropriate late fees as provided herein below shall become applicable.

Covering Certificates

59. The stallion owner shall be responsible to submit to the S.B.A.I. a separate Covering Certificate for each mare covered by the stallion. Covering Certificates will be registered as under :-

- (a) **Mares covered between 1 February and 31 July**
- (i) **Certificates received by 31 August** - No fee.
 - (ii) **Certificates received by 30 September** - ₹ 200/- per Covering Certificate.
 - (iii) **Certificates received by 30 November** - ₹ 3,000/- per Covering Certificate.
 - (iv) **Certificates received after 30 November**
 - (aa) **Before the birth of the foal** - ₹ 6,000/- per Covering Certificate.
 - (ab) **After the birth of the foal** - ₹ 20,000/- per Covering Certificate.
 - (ac) **After the receipt of Certificate of Identity** - At the discretion of S.B.A.I. but in no case at a fee of less than ₹ 20,000/- per Covering Certificate and not exceeding ₹ 50,000/- per Covering Certificate.
- (b) **Mares covered between 1 August and 31 January**
- (i) **Certificates received by 28 February** - No fee.
 - (ii) **Certificates received by 31 March** - ₹ 200/- per Covering Certificate.
 - (iii) **Certificates received by 31 May** - ₹ 3,000/- per Covering Certificate.
 - (iv) **Certificates received after 31 May**
 - (aa) **Before the birth of the foal** - ₹ 6,000/- per Covering Certificate.
 - (ab) **After the birth of the foal** - ₹ 20,000/- per Covering Certificate.
 - (ac) **After the receipt of Certificate of Identity** - At the discretion of S.B.A.I. but in no case at a fee of less than ₹ 20,000/- per Covering Certificate and not exceeding ₹ 50,000/- per Covering Certificate.

Completion of Covering Certificates

60. Subject to Rule 94, it is clarified that the stallion owner (or his authorised agent) is responsible to complete the covering certificate. Detailed instructions for completing the covering certificate are mentioned in **Appendix E**.

Mares Leaving Breeding Establishments after Covering

61. Covering Certificates of mares visiting a stallion station or those leaving a breeding establishment after being covered (but before the covering certificate is required to be submitted to S.B.A.I.) must be handed over to the mare owner or his authorised representative.

62. A mare owner has the right to demand a covering certificate from the stallion owner at any time and to insist that the stallion owner lodges the covering certificate with the S.B.A.I.

Mares covered by two or more Stallions

63. If a mare is covered by two or more stallions, a separate covering certificate must be lodged in respect of each stallion.

Fees

64. Fees for registering a Covering Certificate will be charged to the account of the person submitting it.

Coverings Involving un-Registered Stallions / Broodmares

65. A stallion or a broodmare must be at least provisionally registered before being used for breeding. In case a stallion or a broodmare is used for breeding before being provisionally registered, their cases will be regularised as given in Rules 66, 67 and 68.

66. **Un-Registered Stallions.** A stallion must be registered before he can be used for covering a mare. In the event of a stallion covering any mare before he is registered, the following scale of fees will be applicable to regularise the covering :-

- | | | |
|---|---|--------------|
| (a) Upto 5 mares | - | ₹ 30,000/- |
| (b) Between 6 and 10 mares (both inclusive)- | | ₹ 75,000/- |
| (c) More than 10 mares | - | ₹ 1,50,000/- |

[In case of a stallion not registered at all and used for covering mares, the regularisation of such cases will be at the discretion of the S.B.A.I., depending upon the circumstances of the case but the fees imposed will not be in any case less than the scale specified above.]

67. **Unregistered Broodmares.** Covering of an un-registered broodmare will be regularised at an additional fee of ₹ 10,000/- , plus the additional fees due in terms of Rules 58 and 59, if applicable.

68. The prescribed additional fee will become due when a covering certificate of an un-registered stallion or a broodmare is received by S.B.A.I. It is payable even if the mare is not in-foal and the person submitting the said covering certificate cannot later opt for it not to be registered.

VII

REGISTRATION OF FOALS AND YEARLINGS

Notification of Birth

69. Breeders / Breeding Establishments shall notify the S.B.A.I. of the birth of all foals which they intend to register or race under the Rules of Racing of any recognised Turf Authority. Such Notification shall be submitted as under :-

(a) **Foalings up to 26 December of the year of Covering shall be notified to S.B.A.I. within 48 hours** of the birth of the foal.

(b) **Foalings between 27 December and 28 December of the year of Covering shall be notified to S.B.A.I. within 24 hours** of the birth of the foal.

(c) **Foalings subsequent to 28 December of the year of Covering shall be notified to S.B.A.I. within 6 hours** of the birth of the foal.

(d) Information stating the date of birth, colour and sex of any foal born on or before 31st December of each year in which the mares have been covered shall be given to the S.B.A.I. by email and in exceptional circumstances, on telephone to any of the designated officials of the S.B.A.I. in the aforesaid prescribed time limit. All information required as above shall be confirmed to the S.B.A.I. in writing and duly signed within 30 days from the date of the above notification to S.B.A.I.

(e) **Foals born between 01 January in the year after covering and on / or before 31st December of that year** shall be notified to S.B.A.I. in writing by email or by letter within 30 days of the birth of such foals, stating the name of the sire, dam, date of birth, colour, sex of the foal and place of birth.

(f) Persons authorised in writing by the S.B.A.I. or the Keeper of the Indian Stud Book or the Registrar of the Indian Stud Book as representing them are hereby entitled to carry out surprise checks at breeding establishments for verification of the date of birth of foals born each year. In case it is noticed or found that a foal born on or before 31st December of any year has not been reported to the S.B.A.I., within the prescribed time as mentioned in Rule 69 (a), (b) or (c) above, the S.B.A.I. shall take a serious view in the matter and shall be entitled after due enquiry and personal hearing, if any, requested for by the owner of the breeding establishment to impose a minimum fine of ₹ 75,000/- and not exceeding ₹ 2,50,000/-. In addition to the fine imposable by the S.B.A.I. as aforesaid, the S.B.A.I. shall also be entitled, at its sole discretion, to decide not to register the foal.

70. When a Notification of Birth, whose relative Covering Certificate has not been registered is received, the effective date of its receipt will be the date on which the Covering Certificate is finally registered and appropriate late fees shall become applicable.

71. In the case of foals resulting from coverings by more than one stallion, names of all stallions in the order of date of last service shall be mentioned at the time of notifying the birth as aforesaid.

72. The above information shall be supplied by the Breeder or his Authorised Agent or by a veterinarian or by a person authorised by the breeding establishment at which the foal is born.

73. Notifications of Birth will be considered for registration as under :-

- (a) **Notifications received within 30 days of birth.** No fee.
- (b) **Notifications received within 180 days of birth.** For a fee of ₹ 10,000/- per Notification of Birth.
- (c) **Notifications received after 180 days and within 365 days of birth.** At the discretion of SBAI for a fee of ₹ 75,000/- per Notification of Birth and subject to the reported parentage being validated by DNA typing.
- (d) **Notifications received after 365 days of birth.** At the discretion of SBAI for a fee of ₹ 2,00,000/- per Notification of Birth and subject to the reported parentage being validated by DNA typing.

74. **Foals Conceived Abroad.** Notifications of Birth of foals whose dams were covered abroad will be registered only on :-

(a) **Receipt of the Covering Certificate from the appropriate registering Authority.** The Covering Certificate in original must reach the S.B.A.I. within one calendar year of the date of landing of the mare, unless details of the Covering Sire, dates of cover, etc are endorsed on Export Certificate received from the competent Authority abroad. Late receipt of Covering Certificates will be regularised on payment of late fees as under:-

- i. **Late by 1 to 30 days.** ₹ 200/- per Covering Certificate.
- ii. **Late by 31 to 90 days.** ₹ 4,000/- per Covering Certificate.
- iii. **Late by more than 90 days.** At the discretion of the S.B.A.I. but in no case for a fee of less than ₹ 6,000/- per Covering Certificate.

(b) Being parentage validated after receiving the DNA / Blood-typing profile of the covering sire from abroad. It is the responsibility of the S.B.A.I. to obtain the required profile from the competent authority abroad, provided the covering certificate has been received from the competent authority abroad.

75. Hair samples must be collected and microchip implanted at the same time by a licensed veterinarian and sent to SBAI on a monthly basis for parentage validation.

Certificates of Identity

76. A Certificate of Identity shall be submitted on-line or by hard copy in the prescribed form, duly signed by a Panel Veterinarian, by post or by email by **31 October** of the year of foaling.

77. When a Certificate of Identity, whose relative Notification of Birth has not been registered is received, the effective date of its receipt will be the date on which the said Notification of Birth is finally registered and appropriate late fee as provided for herein below shall be applicable.

78. Certificates of Identity will be considered for registration as under :-

- (a) **Certificates received by 31st Oct of the year of foaling.** No fee.
- (b) **Certificates received by 30th Nov of the year of foaling.** ₹ 2,000/- per Certificate of Identity.
- (c) **Certificates received by 31st Dec of the year of foaling.** ₹ 25,000/- per Certificate of Identity.
- (d) **Certificates received after 31st Dec of the year of foaling.** At the discretion of the S.B.A.I. for a fee of ₹ 100,000/- per Certificate of Identity and subject to the reported parentage being validated.

79. Certificates of Identity of foals born before 1st January in the year after covering as defined in Rule 69 (a), (b) or (c) shall be submitted along with the foals of the year in which they were due to be born as per Rule 69 (e).

Microchipping

80. Since 2005 microchipping was introduced in thoroughbred horses in India. In case a microchip already inserted in a horse does not read, the Senior Veterinary Officer of a Racing Centre, a licensed veterinarian or a Panel Veterinarian may implant an additional chip which may be obtained from S.B.A.I..

VIII

REGISTRATION OF OWNERSHIP

Initial Ownership

81. Ownership of stallions, broodmares and foals is initially registered by the S.B.A.I. as under :-

- (a) **Stallions and broodmares retired after racing in India.** As intimated to S.B.A.I. by the concerned Turf Authority at which the horse last raced or as mentioned in its passport.
- (b) **Imported stallions and broodmares.** As shown on the Commercial Invoice / Export Certificate / Bill of Entry filed with the Indian Customs.
- (c) **Unraced Indian-bred stallions / broodmares / foals.** As on the records of S.B.A.I.

Subsequent Ownership

82. Ownership will be changed by S.B.A.I., without charging a fee, when a horse is transferred, sold or leased, on receipt of the prescribed form, in original, duly completed in all respects and signed by a person duly authorised as registered with the S.B.A.I.

83. **Sales / Transfers.** The S.B.A.I. will consider on receipt of the prescribed form, in original, sale / transfer of the following categories of horses :-

- (a) Registered Stallions.
- (b) Registered Broodmares.
- (c) **Youngstock.** S.B.A.I. may register change of ownership of two-year-olds which are still at breeding establishments and not registered as racehorses with any recognized Turf Authority or Race Club. S.B.A.I. may record Sales / Transfers or Leases of such horses by endorsing its passport. It is clarified that Sales / Transfers or Leases of horses in Racing stables will be dealt with by a Turf Authority or Race Club only.

84. **Leases.** The S.B.A.I. will consider, on receipt of a "lease form", in original, registration of leases. Leases of youngstock will be endorsed on the passport. As such, the lease papers must be accompanied by the passport if it is held by the owner.

85. The S.B.A.I. reserves to itself the right to refuse to register a sale / transfer / lease if any of the parties concerned have not settled their previous dues to the S.B.A.I. or if there is any doubt or dispute about the Sale / Transfer / Lease Form.

86. Transfer of Imported Horses will be carried out in accordance with the Government's Import policy and procedures as prevalent at that time. As a help and service, changes in policy will be communicated to all concerned through the medium of Department Circulars provided such changes come to the notice of the S.B.A.I. However, S.B.A.I. shall not in any way be responsible to keep note of such changes in Government policy and it will be the duty of the parties concerned to ensure at all times that no transfer form is lodged which is against the Government policy.

Miscellaneous

87. Deaths, change of name of a lady consequent to marriage, or change of a name of a person as per relevant law, etc. should be intimated to the S.B.A.I., with proper legal proof failing which the ownership will continue to be in names already registered and consequent hardship, if any, will be no mitigating factor for enforcing a change of such records.

IX

REGISTRATION OF BREEDING ESTABLISHMENTS

Breeding Establishments

88. The S.B.A.I. will consider registration of breeding establishments on receipt of the following :-

- (a) Application on the prescribed form available with office of the S.B.A.I.
- (b) All relevant supporting documents mentioned in the application form
- (c) Registration fee of ₹ 1,00,000/- per breeding establishment.
- (d) Refundable deposit of ₹ 2,00,000/- .

X

EXPORTS

Procedure

89. The procedure that will be followed with respect to the documentation of horses being exported from India will be as under :-

- (a) The exporter or his authorised agent will submit an application in writing on the prescribed form (See **Appendix B**).
- (b) The S.B.A.I. will scrutinize the application and if it is complete in all respects, it will issue all Export documents to the competent Authority abroad within seven working days of the receipt of the application provided the horses being exported have been duly blood-typed / DNA typed in India.
- (c) For horses **NOT** previously DNA typed in India, the time required to complete the documentation will vary. The exporter, or his authorised agent, should liaise with S.B.A.I. to ascertain the time required.
- (d) When the application is submitted through an authorised agent, written authority from the owner/s of the horse being exported must be lodged with the S.B.A.I.
- (e) **Fees**
 - (i) **Applications received before the horses leave the country.** ₹ 2,000/- per horse.
 - (ii) **Applications received after the horses have left the country.** ₹ 50,000/- per horse.
- (f) **Microchipping.** Horses born in India from 2004 onwards and thereafter must be microchipped in India, prior to their export.

XI

OTHER REPORTS AND RETURNS

List of Stock Sheltered

90. An annual return on the letter head or email address registered with S.B.A.I. of the breeding establishment of "List of Stock Sheltered" as on 1st September shall be submitted so as to reach the S.B.A.I. by 30th September every year listing :-

- (a) Stallions.
- (b) Broodmares.
- (c) Two-year-olds and older horses, if any.
- (d) Yearlings.
- (e) Weanlings and foals.

91. For non-submission of the return provided for in Rule 90, the concerned breeder / breeding establishment can be liable to pay a fine of ₹ 3,000/-.

Casualties and Movements

92. Breeders or their Authorised Agents are required to report to the S.B.A.I. the following occurrences within the time mentioned against each :-

- (a) **Deaths.** Deaths of stallions, broodmares, yearlings, foals (even those whose Notifications of Birth have not been submitted) shall be reported within 90 days.
- (b) **Pregnancy Casualties.** Such as abortions, slips, dead foals, etc. shall be reported within 90 days.
- (c) **Put out of Stud.** Stallions and broodmares discarded from breeding shall be reported within 30 days.
- (d) **Movements.** All movements (arrivals and departures) of stallions, broodmares, yearlings and foals shall be reported within 90 days.

93. For failure to report any of the occurrences mentioned in Rule 92, the concerned breeder or his Authorised Agent can be liable to pay a fine of ₹ 3,000/- for each occurrence not reported.

XII

SUBMISSION OF REPORTS AND RETURNS

Authority

94. An owner who shelters his stallion, mare or foal at any breeding establishment shall be deemed to have conferred the authority to submit all reports and returns due of it on the concerned breeding establishment and the concerned breeding establishment, by its very act of sheltering the horse, shall be deemed to have accepted the responsibility of submitting the reports and returns due of the said horse.

Responsibility of the person submitting a report or a return under these Rules

95. Any person submitting a report or a return due under these Rules is responsible as to the correctness of the said report or return, in particular the identity of the concerned horse and dates of various occurrences and shall be held directly responsible for his failure, irrespective of wrong intimation received from any party whatsoever, unless such person proves to the Stud Book Authority of India that the person concerned took reasonable care to establish the correct facts in the matter, especially as regards the identity of a horse.

Correspondence

96. All reports and returns shall be addressed to :-

The Registrar,
Indian Stud Book,
Stud Book Authority of India,
R.W.I.T.C., Ltd.,
6 Arjun Marg,
Pune - 411 001 (Maharashtra, India)
Tel. : +91 20 6680 1800 (Extn. 326 / 237)
Website : <http://indianstudbook.com>
E-mail : contact@indianstudbook.com

97. In connection with the submission of various Returns under the foregoing Rules, Breeders or their Authorised Agents shall forward these Returns to the Stud Book Authority of India on-line or from their e-mail address, registered with S.B.A.I. or by post, so as to reach the Office of the S.B.A.I. before the time limit stipulated. In the event of the S.B.A.I. not receiving such Returns within the stipulated period, the S.B.A.I. will accept the date of posting of the Returns provided they were correctly addressed and posted only by **Speedpost** or **Registered Post A.D.** 7 days prior to the stipulated time limit and not otherwise. Communications may also be handed over at the Mumbai office of Royal Western India Turf Club, Ltd. under proper written receipt so as to meet these deadlines. Breeders / Breeding Establishments can scan and send all returns by e-mail at **contact@indianstudbook.com** provided they are followed up by hard copy by post and the date will be accounted as being the date of receipt of the e-mail by S.B.A.I. It is hereby expressly provided that this provision will not in any way affect / override the late fees payable under Rule 44.

XIII

PASSPORTS

98. It is the responsibility of the breeding establishment to obtain passports of stallions and broodmares as under :-

- (a) **Indian-bred stallions and mares.** Through the concerned trainers from the Turf Authority at which the horse last raced.
- (b) **Imported stallions and broodmares.** Through their clearing / importing agents.
- (c) **Unraced Indian-bred stallions and mares.** From the concerned breeders / breeding establishments.

99. The S.B.A.I. issues passports for all yearlings from the crop of 1992 onwards. These passports are to remain with the concerned horses throughout their life. Passports contain detailed descriptive markings, diagrammatic markings and instructions.

100. All horses must travel to and from the breeding establishments with their identity documents / passports. A breeding establishment accepting horses without their identification papers does so at its own risk and leaves itself open to a fine of ₹ 10,000/- per instance for negligence unless the inability to obtain the said papers is reported to the S.B.A.I. immediately. **Identification papers of all horses sheltered at a stud are liable to be inspected without prior notice.**

101. **Movement of weanlings/yearlings.** A passport will be issued to a yearling after it has been parentage validated. When weanlings and yearlings move from one breeding establishment to another, the despatching establishment must send copies of their Notification of Birth or Certificate of Identity to the receiving establishment. The S.B.A.I. will not be liable for correct identification of youngstock which moves from one stud to another and it should not be approached in this connection.

102. Loss of identification papers / passports will be reported immediately to the S.B.A.I. who will issue a duplicate on payment of an additional fee of ₹ 10,000/-. If found necessary, the horse will need to be DNA typed again and charges for the same will be borne by the Applicant of the duplicate passport.

XIV

COLOUR COAT AND GENETIC LAWS OF HEREDITY IN THOROUGHBRED HORSES

103. Two internationally accepted coat colour inheritance principles state that :-

- (a) A chestnut sire and a chestnut dam must produce a chestnut foal.
- (b) A grey / roan foal must have atleast one grey / roan parent.

[These will be strictly followed in all cases.]

104. A bay stallion who has produced only bay foals from 12 or more chestnut mares will be considered to be a "dominant bay", in cases where it is not possible to resolve a case of doubtful parentage or if DNA analysis is not possible with either or both parents being dead.

PARENTAGE VALIDATION

105. To be eligible for inclusion in the Indian Stud Book, a horse must be parentage validated at an ISAG accredited laboratory ("Laboratory") designated by S.B.A.I. and must pass the parentage test. The method of testing shall be as prescribed by the ISBC from time to time.

106. DNA or other genetic data / Profiles generated for any horse during parentage validation shall remain the exclusive property of S.B.A.I. and shall not be divulged to any person except approved Stud Books and only for the purpose of confirming the pedigree of a horse being recorded in that Stud Book.

DNA Typing Procedure

107. All samples collected by licensed veterinarians will be sent to S.B.A.I. by the concerned breeding establishments as per procedures to the Laboratory for testing.

(a) **Stallions and Broodmares.** Samples of stallions and broodmares which have not been parentage validated in India as yearlings will be collected by licensed veterinarians on behalf of the breeding establishments.

(b) **Foals.** Samples of all foals will be collected by licensed veterinarians on behalf of the breeding establishments, while the foals are suckling under their dams PROVIDED however that the dam is alive and/or is not incapacitated due to any reason whatsoever.

Appointed Officers

108. The Senior Veterinary Officer, Royal Western India Turf Club, Ltd., the Registrar, Indian Stud Book and the licensed veterinarians are presently the persons appointed by the S.B.A.I. for supervising collection of hair samples at the breeding establishments. The S.B.A.I. may add, delete, modify, amend or revise this list from time to time at its sole discretion.

Responsibilities of Breeding Establishments

109. Breeding Establishments shall be responsible to ensure that :-

(a) A licensed veterinarian is engaged to insert microchips and collect hair samples.

(b) Hair samples are sent to S.B.A.I. in the designated envelopes.

(c) IA Panel Veterinarian (*names appearing in **Appendix D***) is engaged to prepare Certificates of Identity.

(d) Latest microchip readers are available at its premises to check the microchip numbers of horses.

(e) To pay SBAI, the DNA typing charges of all samples sent by it for DNA testing, irrespective of the ownership of the horse. S.B.A.I. will not enter into any bilateral disputes and in the event of non-receipt of the charges will withdraw passports of the concerned horses.

Responsibilities of Licensed Veterinarians

110. Licensed Veterinarians are expected to be aware and conversant with the modalities and the Protocol to be followed regarding microchipping and collection of hair samples and are responsible for their acts in this connection.

Responsibilities of Panel Veterinarians

111. The Panel Veterinarians are expected to be aware of the modalities and the Protocol to be followed regarding preparation of the Certificates of Identity and their due submission to S.B.A.I. either electronically or in paper form.

Charges

112. DNA-typing charges for ISAG Panel tests presently are ₹ 8,000/- per horse and are payable to R.W.I.T.C., Ltd. as under :-

- (a) **New Stallions and Broodmares.** Within 30 days of the bill being raised by the S.B.A.I.
- (b) **Foals.** Within 30 days of the bill being raised by the S.B.A.I.

{Note: Persons not paying the DNA-typing charges by the due date are liable to be posted on the "Unpaid Forfeit List" by R.W.I.T.C., Ltd.}

113. **Double / Multiple Coverings Solved by DNA typing**

- (a) For every case so solved by the laboratory, there will be an additional charge of ₹ 2,000/- for every stallion excluded, payable to R.W.I.T.C., Ltd.
- (b) Cases not resolved by the above method will need to be tested by additional tests and may be required to be sent to another laboratory having appropriate facilities.
 - (i) DNA-typing charges for additional testing will be an additional ₹ 6,000/- per sample. Therefore, in case of an unsolved double covering involving two stallions, the charges would be an additional amount of ₹ 24,000/- payable to R.W.I.T.C., Ltd.
 - (ii) The breeder will be informed when there is an unsolved double covering. In such cases, the breeder will have the option not to send the samples for additional testing. Provided, however, that in such cases, the foal will not be registered by S.B.A.I. nor will it be issued a passport.

XVI

ACCEPTANCE OF RETURNS

114. The S.B.A.I. may reject at any time any Covering Certificate, Notification of Birth or Certificate of Identity submitted to it even if such Covering Certificate, Notification of Birth or Certificate of Identity has previously been accepted by it and even if any fees have been paid in respect thereof, after giving a hearing (in that behalf) to the party/parties concerned, and giving reason for such rejection. The S.B.A.I. may delete from the Indian Stud Book at any time any entry relating to the name of any horse referred to therein as a consequence of such rejection. Incomplete forms are liable to be not registered and the effective date of receipt would be the date on which a complete/valid document is received.

115. The S.B.A.I. will not register any documents or provide any services if the concerned breeders / breeding establishments have not settled in full any earlier fines or dues payable to the S.B.A.I. and/or parentage validation charges payable to R.W.I.T.C., Ltd

116. By submitting any Return or other document referred to herein to the S.B.A.I. for acceptance, the person submitting it submits himself to the jurisdiction of and agrees to abide and be bound by the Rules and Requirements of the S.B.A.I. as published from time to time.

XVII

INQUIRY PROCEDURE AND GRIEVANCE REDRESSAL

117. When the S.B.A.I. has 'prima facie' reason to act under these Rules, the Stud Book Working Group will appoint an Inquiry Officer and inform the concerned person(s) / breeding establishment(s) to make available such information and documents and/or horses for inspection as may be required by the Inquiry Officer.

118. The Inquiry Officer will conduct the Inquiry and submit his written report to the Stud Book Working Group and place the Inquiry Report before a meeting thereof.

119. The Stud Book Working Group will consider the report, hear the person/s concerned and make its recommendations to the S.B.A.I. The general findings and recommendations of the Stud Book Working Group will be communicated to the person(s) / breeding establishment(s) concerned and they will be given an opportunity to submit anything further in the matter, either in writing or in person, before the S.B.A.I.

120. The S.B.A.I. will then consider the matter and decide as deemed appropriate.

Procedure to be followed if a foal does not qualify to reported parentage

121. The procedure as laid down in Rules 117 to 120 will be followed in all cases involving parentage / identification discrepancies arising out of DNA testing, etc and is divided into the following stages :-

(a) **Initial Stage.** When a case of a parentage discrepancy as reported by the laboratory comes before the Registrar, Indian Stud Book, he will take the following action :-

(i) Inform the breeder and ask him to suggest alternative parentage.

(ii) Ask the laboratory to re-test and confirm the initial findings using a second set of samples.

(iii) Write to the breeding establishment concerned giving them an option of sending a fresh set of samples to any other ISAG accredited laboratory to contest the findings of the laboratory.

(iv) If the breeding establishment agrees to accept the results of the laboratory, ask the breeding establishment to tender an explanation.

(b) **Recommendation of the Stud Book Working Group.** The Registrar, Indian Stud Book, will place the matter before the Stud Book Working Group for directions. The recommendation of the Working Group will be placed before the S.B.A.I. for directions.

(c) **Inquiry.** In case the S.B.A.I. has prima facie reasons to believe that there may be malafide intentions, the Keeper of the Indian Stud Book will be directed to appoint a person/s to conduct an Inquiry with a view to fix the responsibility as regards any breach/lapse in the procedure. The Inquiry procedure and further action taken will be as set out in Rules 117 to 120 and order will be passed by the S.B.A.I.

122. **Ratification by or appeal to Stud Book Authority of India.** In any enquiry / proceeding under these Rules, if the breeding establishment accepts the conclusion arrived at by the Stud Book Working Group, the S.B.A.I. will ratify or approve action / recommendation by the Stud Book Working Group. In case an appeal is made by an aggrieved entity / person against any recommendation or order passed under any Rules by the Working Group, the same will be heard by the S.B.A.I. and thereafter it will pass a final order on the said appeal / recommendation, as the case may be. Any aggrieved entity / person which files an appeal OR attends a personal hearing as provided under any of these Rules shall be entitled to be represented by a spokesman *who is not a practicing lawyer*. The said entity / person shall be bound by all statements / admissions / arguments made by the spokesman on his behalf. The said entity / person will at all times be responsible for the proper conduct of the spokesman during and even after the hearing pertaining to the matter represented by such spokesman. The inability of any entity / person or his spokesman to appear before any of the aforesaid concerned authorities for any reason whatsoever, shall not be a ground for the concerned entity / person to seek any adjournment and the concerned authority shall be entitled to proceed in the matter ex-parte and give its decision thereon.

XVIII

REMITTANCES

123. The S.B.A.I. will receive all remittances by Cash, Cheque, Demand Draft, RTGS/NEFT Fund Transfer. Cheques drawn on banks outside Bombay or Pune for amounts of less than ₹ 500/- will not be accepted.

Funds can be transferred to Royal Western India Turf Club Ltd using the RTGS/ NEFT facility provided by Banks. Details required are as follows:-

Name	:	Royal Western India Turf Club, Ltd
Account No.	:	002810100015515
Type of A/c.	:	S. B. Account
Bank	:	Bank of India
Branch	:	Mahalaxmi
City	:	Mumbai - 400 026
Customer Code	:	BKID0000028

Breeders intending to use the RTGS/ NEFT facility, should ensure that a confirmation mail with the UTR No. is sent to the S.B.A.I. on contact@indianstudbook.com after completing the transaction so as to co-relate the payment with the account.

124. Remittances meant for DNA typing charges should be made as mentioned in Rule 123 or defrayed from the Stud Accounts in case sufficient credit is available in the respective Stud Accounts.

125. Breeding establishments and breeders may open "Stud Accounts" to defray routine fees and charges. It will be the responsibility of the account holder to ensure that there is sufficient credit balance in the account when requesting for debits to be made to it. Should a report or return, which is to be registered with a fee, be received with a request to debit the account and the account is already in debit, the said return will be considered to have been received on the day on which the debit is cleared and sufficient funds are available in the Account to defray registration charges. The return will be registered only with additional fee, as applicable.

126. If there is insufficient credit balance in the account to meet the entire debit and the total can be broken up into components, endeavour shall be made to get the confirmation of the account holder as to which debits he wants cleared. If no specific instructions are forthcoming within 7 days then the Registrar, Indian Stud Book will decide which debits be cleared and which should remain uncleared.

XIX

MAINTENANCE OF RECORDS BY BREEDERS / BREEDING ESTABLISHMENTS

127. Breeders / Breeding Establishments shall maintain proper and detailed records of coverings, foalings, abortions, still births, deaths and medical treatment given to their stock and shall keep a clear note of arrival and departure of livestock.

128. In particular, the following records will be maintained :-

- (a) A record for each stallion showing the mares covered with date and time.
- (b) A record for each mare showing teasing dates, covering dates and time (with the name of the stallion), results of rectal examinations, pregnancy casualties/foaling with the dates and medical treatment given.
- (c) A general record of arrivals and departures.

129. Where a breeder / breeding establishment employs codes in maintenance of records, a legend explaining such codes must also be maintained. Records shall be retained for a minimum period of five years.

130. The S.B.A.I. would like to impress on breeders / breeding establishments that correct and upto date maintenance of proper records is of vital importance and laxity in maintenance of records may go against a breeder / breeding establishment in an Inquiry.

XX

EXAMINATION OF BREEDING RECORDS AND LIVESTOCK

131. The S.B.A.I. has and reserves to itself and those representing it, the right, at any and all times to make and undertake a complete check, to inspect and examine the breeding records and undertake inspection and/or identification of all livestock on breeding establishments without giving prior notice and reserves the right to refuse to consider any application for the registration of any horse, the owner or breeder of which has not, to the satisfaction of the S.B.A.I., complied with the above Rules in all and every respect.

132. In case the Proprietor / Director / Manager resident at the farm is likely to be unavailable for any period of time, the relevant documents should be kept in a place where the stud jamadar / dresser can produce them for inspection. The person in charge of the breeding establishment at any given time is liable to produce these documents for inspection, allow them to be xeroxed if required by the inspecting official and put his initials/stamp thereon to authenticate them. The absence of Proprietor / Director / Manager will not be any excuse for an inspecting official duly authorised in writing not being given an opportunity to inspect / copy statutory documents.

XXI

TAXES

133. Goods and Services Tax (G.S.T.) or any other tax / cess, if applicable, for registration or any other services provided by the S.B.A.I. will be recovered from the applicant at rates, as may be prescribed by the Government from time to time.

XXII

MISCELLANEOUS

134. **Communications to Stud Book Department.** All communications to Stud Book Department are actioned or acknowledged within 15 days of their receipt, most of them with a computer printout. In case a breeding establishment does not receive any acknowledgment within one month of its communication, it will be the onus of the concerned breeding establishment to make further enquiries.

135. **Forms.** The official website of the Indian Stud Book viz. www.indianstudbook.com has catered for downloads of copies of all forms required for registration purposes with the exception of the Covering Certificates, which will need to be submitted on-line.

136. All information lodged with S.B.A.I. by way of any reports and returns in respect of horses registered is public information other than pertaining to DNA Typing or Blood Typing or any other method of genetic identification as more clearly specified in Rule 106 as this information is proprietary information of the S.B.A.I. and shall not be supplied to any other person (*with or without payment*) except to another approved Stud Book Authority for the sole purpose of identifying a horse exported from India.

XXIII

ARBITRATION, JURISDICTION AND CONSENT

137. Any disputes or differences arising out of or under these Rules or touching these Rules or connected therewith shall be referred to a sole Arbitrator to be appointed by R.W.I.T.C. Ltd. and the other party(s) by mutual consent in the first instance and failing that, in accordance with the provisions of the Arbitration and Conciliation Act, 1996 and any enactment / amendment thereof. The decision of the Arbitrator shall be final and binding on the parties concerned. The Arbitration proceedings shall be held in the city of Mumbai (INDIA) and shall be conducted in the English language. The Courts in Mumbai city alone, to the exclusion of any other Courts in India, shall have jurisdiction to try and entertain any dispute arising therefrom. The cost of the Arbitrator and Arbitration proceedings shall be shared by the parties in equal proportion. However, each party shall bear and pay their own legal costs.

138. These Rules shall in all respect be construed in accordance with and governed by the laws of India, including all matters of construction, validity and performance.



PEDIGREE AND INFORMATION SERVICES

The S.B.A.I. will endeavour to provide the following pedigree and information services subject to the constraints of its workload:-

- (a) **Full produce record of a broodmare.** On payment of ₹ 200/- per broodmare.
- (b) **Full produce record of a broodmare with performance details of the progeny.** ₹ 1,000/- per broodmare.
- (c) **Catalogue style write-up.** ₹ 750/- per write-up.
- (d) **Full stud record of a stallion.** This would include complete statistics, names of all foals duly indicating if they are starters, winners or black type horses. ₹ 1,000/- per stallion.
- (e) **Any other statistical and/or registration data at the discretion of the Stud Book Working Group and at fees which may be prescribed at that time.**

CONDITIONS OF ENTRY INTO THE REGISTER OF INDIAN NON-THOROUGHBRED HORSES

1. The Register of Indian Non-Thoroughbred Horses (RINTBH) records births of Non-Thoroughbred progeny born in India subject to these overall Conditions of Entry into RINTBH.

Broodmares

2. To be eligible for registration as a broodmare in RINTBH, it must be demonstrated that she:-
- (a) has won a flat race under the Rules of Racing of any recognized Turf Authority in India, or
 - (b) has bred such a winner, or
 - (c) is closely related to such a winner, or
 - (d) was herself registered as a foal in a previous Volume of RINTBH, or
 - (e) though fulfilling none of the above conditions, she can show other reasonable cause for being considered eligible.
3. In addition to satisfying one of the above eligibility conditions, the mare must have a registered name under the Rules of Racing of a Turf Authority in India, prior to registration as a broodmare.

Stallions

4. To be eligible for registration as a Stallion in RINTBH, it must be demonstrated that he:-
- (a) has won a flat race under the Rules of Racing of a recognized Turf Authority in India, or
 - (b) has already sired the winner of a race listed in 4(a) above, or
 - (c) was himself registered as a foal in a previous volume of RINTBH
5. In addition to satisfying one of the above eligibility conditions, the horse must have a registered name under the Rules of Racing of a Turf Authority in India at the time of covering. Notwithstanding the above Conditions, the Stud Book Authority of India reserves to itself, the overall right to decide which horses may be admitted, excluded, or removed from the Register of Indian Non-Thoroughbred Horses (RINTBH) and related publications.

IDENTITY

6. Before any Stallion or Mare can be registered in the RINTBH as breeding stock, the horse's identity must be established. This is achieved by:-
- (a) The completion by the horse's owner of the appropriate Stallion or Broodmare Registration Form, recording the horse's registered name, colour, age, pedigree, (if registered) present owner, and previous history so far as known.
 - (b) Tracing the horse through all its ownerships since birth, if necessary, in particular by reference to its Foal Identity and Vaccination Certificate and / or Passport, and obtaining signed certificates of sale where necessary.
 - (c) The provision of current markings of the horse, taken by a Panel Veterinarian.
 - (d) The provision of the horse's DNA type or bloodtype with any necessary sample collected by a Panel Veterinarian.

7. Such sample of DNA analysis/bloodtype to be used in parentage verification cases and identity checks. In addition, Stud Book Authority of India reserves the right to retain each sample for future reference.
8. No horse can enter the RINTBH unless it and its sire and dam are each the produce of a natural service or covering, and unless a natural gestation took place in and delivery was from the body of the mare in which the foal was conceived.
9. In the case of imported horses, an Export Certificate identifying the horse must be received from the Stud Book Authority of the country from which the horse has traveled. For imported horses visiting India for less than nine months the requirement for the provision of an Export Certificate may be replaced by the lodgment of the horse's passport currently endorsed by the relevant authority of the Country from which it has traveled, issued by the Stud Book Authority with which it was registered.
10. No horse may be registered as breeding stock retrospectively, after it has died, except at the discretion of the Stud Book Authority of India and only if the horse's DNA or blood type has been officially established prior to the horse's death.

APPENDICES

**BOND TO BE EXECUTED FOR PROVISIONAL REGISTRATION OF
IMPORTED BROODMARES AND STALLIONS**

It may kindly be noted that -

- (a) It must be made out on a stamp paper of the value as laid down under law prevalent at the appropriate time. The same may be ascertained from the Stud Book Department.
- (b) **Separate** indemnity/application must be made for separate mare/s stallion/s even though they may be in the same ownership.
- (c) The form can be suitably amended to comply with the requirements in each individual case.
- (d) The application/indemnity must be signed by a person whose authority has been lodged for registration with the S.B.A.I. and must be attested by a Notary / Public Magistrate.

(See text of the Bond as appended below)

TEXT OF THE BOND

The Registrar,
Indian Stud Book,
Stud Book Authority of India,
R.W.I.T.C., Ltd.,
6, Arjun Marg,
PUNE : 411 001

Dear Sir,

I/We hereby apply to you for the grant of a provisional registration for our imported stallion/marepending receipt by you of the Original Stud Book Certificate/Export Certificate/Quarantine Clearance Certificate from the respective Authority concerned.

I/We hereby agree that this provisional registration is without any obligation cast on you to grant final registration if I/we fail to produce the said Original Certificate before you within the prescribed time viz. one year from the date of landing. I/We also am/are aware that if final registration is not granted to me/us due to the non-receipt for any reason whatsoever, by you of the required certificate, then the Rules of S.B.A.I. in force shall become applicable and I/We agree to be bound by them.

I/We hereby undertake to indemnify and keep indemnified the Stud Book Authority of India, its Committee and its Officials from any loss, damage or action caused or instituted by any party claiming to be affected by the grant of provisional registration to our stallion/mare on the basis of this application/indemnity.

I/We further undertake to comply with all other Rules and Regulations as are in force in this connection.

Yours faithfully.

(—————)

STUD BOOK AUTHORITY OF INDIA
ROYAL WESTERN INDIA TURF CLUB LTD
6 Arjun Marg, Pune 411001, Maharashtra, India
Tel: 91-20-66801800
E-mail : contact@indianstudbook.com
Website : http://indianstudbook.com

APPLICATION FOR EXPORT DOCUMENTATION
(See instructions on next page)

1. Name of the horse [with nationality] _____
 2. Colour/sex/year of birth _____
 3. Sire [with nationality] _____
 4. Dam [with nationality] _____
 5. Country to which being exported _____
 6. Name & Address of Consignee _____

 7. Scheduled date of departure _____ By SEA/AIR _____
 8. Flight/Ship Details _____
 9. Following documents are attached:-
 - (a) Passport.
 - (b) Fresh Certificate of Identity.
 - (c) For broodmare - Covering Certificate, unless already lodged.
 10. Name & Address of Applicant [with tele & fax numbers] _____

 11. Name & Address of Authorised Agent [with tele & fax numbers] _____

- Signature _____
- Name[in block letters] _____
- Designation _____
- Date: _____
- Place: _____

INSTRUCTIONS FOR APPLICATION FOR EXPORT DOCUMENTATION

1. One form to be used for each horse.
2. Incomplete applications will not be processed.
3. Applications must reach Stud Book Authority of India Office at least seven (7) days before the shipment alongwith:-
 - (a) **Passport of the horse.** If the horse is in training it will be under the jurisdiction of a Turf Authority. Changes in ownership **must** be endorsed by the Turf Authority before the passport is submitted to the S.B.A.I. The S.B.A.I. will **not** effect changes in ownership of horses not under its jurisdiction.
 - (b) A fresh Certificate of Identity from a Panel Veterinarian with markings taken within 15 days of the date of the application.
 - (c) Covering Certificate/s in respect of broodmares if not lodged with the Stud Book Authority of India earlier to submission of this application.
4. It must be ensured that if the horse is born in India in 2004 or later and is being exported for racing purposes, it must be microchipped before its export. Panel Veterinarians or Senior Veterinary Officers of the Turf Authorities of India are the only Veterinary Officers, who are authorised to implant microchips in the horses.
5. After the horse has been physically exported the Owner or his Authorised Agent must inform the Stud Book Authority of India, in writing, confirming the export.
6. The Owner or his Authorised Agent must ensure the horse travels along with the **Passport**.
7. Applications from an Authorised Agent must be accompanied by written authority from the Owner/s of the horse.
8. Fee of ₹ 2,000/- must accompany the application if it is submitted before the horse leaves the country; ₹ 50,000/- must accompany the application if it is submitted after the horse has left the country.

REGISTRATION OF NAMES BY STUD BOOK AUTHORITY OF INDIA

General

1. As decided by the Turf Authorities of India, the S.B.A.I. has assumed responsibility of registering names of all horses in India with effect from 01 Aug 2008.
2. Horses for naming are categorised as :-
 - (a) Registration of Names for Horses born in India in Racing/Training. (To be considered only through TAI and no direct application will be accepted)
 - (b) Registration of Names for Horses in Breeding/yearlings.
 - (c) Registration of Names for Horses born abroad. (Both at Stud and in Training)

MODALITIES

Applications from Turf Authorities

3. The Stud Book Authority of India (SBAI) will consider applications (on prescribed form; attached) for registration of names of all 2YOs and all horses in training. Duly filled-in forms may be forwarded by e-mail to the Stud Book Department.

Fees

4. Fees payable to SBAI are as under :-
 - (a) 2 year old names registered up to 31st Oct - ₹ 250/-
 - (b) 2 year old names registered between 01st Nov and 31st Dec - ₹ 1,000/-
 - (c) 3 year old names registered from 1st Jan onwards - ₹ 3,000/-

Changes of Name

5. As per the previous resolution of the Turf Authorities of India, one change of name will be permitted at a fee of ₹ 3,000/-.
6. Change of name will not be allowed if the horse is a winner or a placer of black-type races in India.

Horses Born Abroad

7. India is a signatory to Article 14 of the International Agreement on Breeding, Racing and Wagering, which specifies that a horse has to be named by the Authority of the country of its birth. Thus, applications for naming horses born abroad will have to be addressed to the concerned Authority abroad by the Stud Book Department. It may hence be noted that :-
 - (a) Applications for names of horses born abroad should NOT be made on the usual, prescribed form but by an ordinary letter. Three names need to be proposed in the order of precedence.
 - (b) A period of a fortnight is to be allowed for the process to be completed.

Names with Commercial Significance

8. Should names proposed by the Turf Authorities and registered by SBAI have Commercial Significance and if any legal proceedings are initiated by any Party regarding Copyright of names against SBAI, then SBAI would order for the name to be changed and costs of the change of name and any legal or Commercial cost incurred by SBAI would be borne by the owner/s of the horse at the concerned Turf Authority.

Names of Public Persons, etc

9. As per Article 14 of the International Agreement on Breeding, Racing and Wagering, names of any Public Persons in India cannot be registered for horses without the written permission of that person or the family of that person. However, SBAI may consider for registration, names of Public Persons, who have deceased at least fifty years before the name is proposed. It may kindly be noted that this consideration will be at sole discretion of SBAI and they reserve the right to have the name changed even after registration, if circumstances so require.

Reservation of Names

10. On request, SBAI may reserve names for a period of one calendar year at a fee of ₹ 2000/-. If the name is not used within that one calendar year, the name will be released from the reserved list.

Procedure

11. The Turf Authorities will submit applications for names, after due scrutiny, on the prescribed form. SBAI will process the applications and inform all the Turf Authorities.

Processing Time

12. SBAI will either register the name applied for or inform the applicant as to why it is not available (international protected name, name of registered breeding stock, extra characters, etc.) within 3 working days of receipt of application.

Responsibilities

13. Turf Authorities.

(a) The Turf Authorities should **not permit any horse to race** till it has confirmation from the SBAI of the name having been registered.

(b) The Turf Authorities will be responsible to process the name as regards it being offensive, the name of a famous personality, etc. as prescribed by the Rules.

(c) To ensure that the naming fees are remitted within one month of receipt of the bill.

14. **Stud Book Authority of India.** It will be the responsibility of SBAI to ensure the processing time is adhered to and also maintain the database, which will need to be regularly updated for smooth functioning of the proposed system.

A list of Panel Veterinarians in India is as given below :-

1. Dr Gurditt Singh Aulakh
2. Dr Jeyentra Balaji
3. Dr S Balasubramanian
4. Dr (Maj Gen) R K R Balasubramanian, AVSM
5. Dr S P Banerjee
6. Dr (Major) R S Bansal
7. Dr S Jeya Bharath
8. Dr (Lt Col) Vinod Krishna Bhatnagar, VSM
9. Dr S M Bhoot
10. Dr Dattatray Shrirang Bhosale
11. Dr C K Bhuvanakumar
12. Dr K S Chandel
13. Dr N Ravi Chandran
14. Dr Dilip Kumar Das
15. Dr S C Datt
16. Dr (Lt Col) H S Dawra
17. Dr Abhay Malhar Desai
18. Dr A V Deshpande
19. Dr N M Dinesh
20. Dr M W Gadewar
21. Dr (Col) R P Garg
22. Dr (Col) K George
23. Dr (Col) S K Gupta
24. Dr S M Jayadevappa
25. Dr Dinesh Jhamb
26. Dr J C Juvekar
27. Dr V S Kannadkar
28. Dr (Col) V N Kapre
29. Dr Shankar Kar
30. Dr S M Karthikeyan
31. Dr Vijay Govind Kashid
32. Dr Ranjit Kharb
33. Dr (Maj Gen) R M Kharb, AVSM
34. Dr Anubhav Khattar
35. Dr S K Kulkarni
36. Dr Nitin M Kulkarni
37. Dr Naveen Kumar
38. Dr R Senthil Kumar
39. Dr Surender Kumar
40. Dr Jaswinder Kumar
41. Dr Rajender Kumar
42. Dr Vijender Kumar
43. Dr D Kumaran
44. Dr R R Kunchur
45. Dr H S Mahesha
46. Dr (Col) O P Maheshwari
47. Dr P Malarvannan
48. Dr S Veer Vikram Malhotra
49. Dr (Lt Col) S C Malik
50. Dr (Brig) V P Manchanda
51. Dr (Lt Col) Mathai Babu Mazhuvanchery
52. Dr Hasneyn Mirza
53. Dr (Major) Mitesh Mittal
54. Dr C V Niranjani
55. Dr (Capt) Surender Pal
56. Dr M Parthiban
57. Dr Amit A Patil
58. Dr R N Phaugat
59. Dr Ashok R Prabhu
60. Dr Sunil S Pund
61. Dr Rajneesh
62. Dr (Col) Tej Ram
63. Dr P Krishna Rao
64. Dr (Col) G S C Ranga Rao
65. Dr S S Rathore
66. Dr K Venugopal Reddy
67. Dr Ravinder Reddy
68. Dr (Capt) Shalabh Kumar Sahu
69. Dr C Satish
70. Dr K S J M Shankar
71. Dr M M Sharma
72. Dr (Maj Gen) Shrikant Sharma
73. Dr (Lt Col) B R Shetty
74. Dr Amrik Singh
75. Dr Ompal Singh
76. Dr S C Singh
77. Dr Virender Singh
78. Dr Allen Singh
79. Dr Sukhdev Singh
80. Dr (Lt Col) Virender Singh
81. Dr (Brig) N M Singhvi
82. Dr Prem Kumar Singla
83. Dr Ratan Singh Solanki
84. Dr B Sridhar
85. Dr Naveed Ahmed Syed
86. Dr Sadique Ali Syed
87. Dr (Col) K K Uppal
88. Dr P K Uppal

Detailed instructions for completing the Covering Certificate

1. Mares/Horses must be registered as Broodmares / Stallions before they are covered.
2. The identity of the stallion / mare must be verified before the covering.
3. Covering data is required to be lodged in on-line at <http://indianstudbook.com>. The breeding establishment at which the stallion is resident or the stallion owner is responsible in all cases to complete this Certificate. An extract from the Rules and Requirements For Registration of Thoroughbred and Half-Bred Horses in India is reproduced :

“An owner who shelters his stallion, mare or foal at any breeding establishment shall be deemed to have conferred the authority to submit all reports and returns due of it on the concerned breeding establishment and the concerned breeding establishment, by its very act of sheltering the horse, shall be deemed to have accepted the responsibility of submitting the reports and returns due of the said horse.”

4. Requisite fee should be remitted while submitting the Certificate to Stud Book Authority of India or there should be sufficient credit in the stud account to defray registration charges.
5. Names of broodmares not covered must also be reported via the web portal.
6. Separate Covering Certificates must be submitted for broodmares covered by more than one stallion.
7. Covering Certificates must be submitted even for mares which die subsequently or those who are not in-foal.

